

Electronic Acknowledgement Receipt

EFS ID:	4828908
Application Number:	10679071
International Application Number:	
Confirmation Number:	5914
Title of Invention:	Zero premium equity participating securities
First Named Inventor/Applicant Name:	Kevin G. Woodruff
Customer Number:	26285
Filer:	Michael Todd Duckworth/Amanda Kernan
Filer Authorized By:	Michael Todd Duckworth
Attorney Docket Number:	030675
Receipt Date:	20-FEB-2009
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	amendment_transmittal.pdf	247972 d7ab9c38bcb984ef55da21d4de6c03f6d12f1380d0	no	4

Warnings:**Information:**

2		Response_to_NFOA.pdf	766683 8cd8f775970b443057564521a81005449059d78	yes	10
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Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	5
Applicant Arguments/Remarks Made in an Amendment	6	10

Warnings:**Information:**

3	Fee Worksheet (PTO-06)	fee-info.pdf	30091 9a6eacff683004574773824432b28d477c3ba3bd4	no	2
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Warnings:**Information:**

Total Files Size (in bytes):	1044746
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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